

Little Rock Trade and Investment Ltd.

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CIN: L67120WB1981PLC033628

The President
Kolkata Stock Exchange Ltd
7- Lyons Range
Kolkata – 700001

Date: January 04, 2017

Ref	CSE Scrip Code = 22055 & 10022055
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Dear Sir,

Sub: Shareholding Pattern [Regulation 31(1)(b) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015] & **Corporate Governance Report** [Regulation 27(2) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015] for Quarter ended December 31, 2016.

Pursuant to requirement of the Regulation 31(1)(b) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015, read with other applicable provisions, if any, please find enclosed herewith Shareholding Pattern for the Quarter ended December 31, 2016.


Further Pursuant to requirement of the Regulation 27(2) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015, read with other applicable provisions if any, please find enclosed herewith Compliance Report on Corporate Governance for the Quarter ended December 31, 2016.

Please acknowledge receipt
For any further documents/information, please do let us know.

Thanking you
Yours faithfully

For Little Rock Trade & Investment Ltd.

For Little Rock Trade And Investment Ltd.


Deena Nanda
Director/Authorized Signatory
(Director)
(DIN:06535258)

Encl: Share Holding Pattern for Quarter ended December 31, 2016
Corporate Governance Report for Quarter ended December 31, 2016

Registered Office: 11C, Ram Mohan Dutta Road, Ground Floor, Kolkata - 700020

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Parity paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held VII=IV+V+VI	Shareholding % calculated as per SCRR 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights					No.(a)		No. (No applicable) (a)		
								Class X	Class Y	Total			As a % of total Shares held (b)	As a % of total applicable shares held (Not applicable) (b)			
Pashupatham Commercial Pvt Ltd	AALCP1237D		235500	0	0	235500	18.85	0	0	0	0.00	0.00	0	0	0	0.00	0
Trisal Commercial Pvt Ltd	AAMCT5894K		452600	0	0	452600	36.24	0	0	0	0.00	0.00	0	0	0	0.00	0
Sub-Total (AM1)		3	1135000	0	0	1135000	90.87	0	0	0	0.00	0.00	0	0	0	0.00	0
(2) Foreign																	
Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0
(b) Government		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0
(c) Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0
(d) Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0
(f) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0
Sub-Total (AM2)		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0
Total Shareholding of Promoter and Promoter Group (AP)-(AM1)-(AM2)		3	1135000	0	0	1135000	90.87	0	0	0	0.00	0.00	0	0	0	0.00	0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchanges

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(C) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Parity paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held VII=IV+V+VI	Shareholding % calculated as per SCRR 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class X	Class Y	Total			As a % of total Shares held (b)	As a % of total applicable shares held (Not applicable) (b)			
(1) Institutions																	
(a) Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0
(b) Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0
(c) AlternatInvestment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0
(e) Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0
(f) Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0
(g) Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0
(h) Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0
(i) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0
Sub-Total (BI1)		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0
(2) Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0
Sub-Total (BI2)		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0

